

## EXAMPLES OF PROBLEMS

- Representation of problems
- Solving problems with algorithms
- Rates of growth
- Further examples
- Reductions

(C. Papadimitriou, *Computational complexity*, Chapter 1)

## Algorithm for REACHABILITY

```

S := {v}; mark v;
while S ≠ {} do
  choose a node i and remove it from S;
  for all (i, j) ∈ E do
    if j is not marked then mark j and add it to S
  endif
endfor
endwhile ;
if u marked then return 'there is a path from v to u'
else return 'there is no path from v to u'
endif

```

## Problems vs. Algorithms

This course focuses on analyzing the computational complexity of *problems* (not algorithms).

- A problem: an infinite set of possible instances with a question
- A *decision problem*: a question with a yes/no answer

**Example.** REACHABILITY:

INSTANCE: A graph  $(V, E)$  and nodes  $v, u \in V$ .

QUESTION: Is there a path in the graph from  $v$  to  $u$ ?

## Questions

How efficient is the algorithm?

How is it affected by

- Programming language?
- Computer architecture?
- Representation of the graph?
- Representation of the set  $S$ ?

Given certain assumptions the algorithm terminates in  $O(|E|)$  steps.

## Rates of Growth

Let  $f, g: \mathbf{N} \mapsto \mathbf{N}$ .

- ▶  $f(n) = O(g(n))$  (*f grows as g or slower*), if there are positive integers  $c$  and  $n_0$  such that for all  $n \geq n_0$ ,  $f(n) \leq c \cdot g(n)$
- ▶  $f(n) = \Omega(g(n))$ , if  $g(n) = O(f(n))$
- ▶  $f(n) = \Theta(g(n))$ , if  $g(n) = O(f(n))$  and  $f(n) = O(g(n))$ .

**Example.** If  $p(n)$  is a polynomial of degree  $d$ , then  $p(n) = \Theta(n^d)$ .

If  $c > 1$  is an integer and  $p(n)$  a polynomial, then  $p(n) = O(c^n)$  but  $p(n) \neq \Omega(c^n)$ , i.e.,

*any polynomial grows strictly slower than any exponential.*

If  $k > 1$  is an integer, then  $\log^k n = O(n)$

## Discussion

Possible criticism:

- ▶ Not all polynomial time algorithms are efficient in practice. There are efficient computations that are not polynomial. For instance, consider  $n^{80}$  vs  $2^{\frac{n}{100}}$ .
- ▶ Average case analysis is more informative than worst-case.

☞ *“Adopting polynomial time worst-case performance as our criterion of efficiency results in an elegant and useful theory that says something meaningful about practical computation, and would be impossible without this simplification.”*

## Simplifying Assumptions

The following simplifying assumptions are introduced when the computational complexity of problems is analyzed:

- ▶ A problem is *efficiently solvable* when there is an algorithm solving the problem such that the rate of growth of the solution time is *polynomial* w.r.t. the size  $n$  of the input ( $O(n^d)$ )
- ▶ A problem is *intractable* when no polynomial time algorithm available for it.
- ▶ Consider the *worst-case performance* (not, e.g., average case).
- ▶ Mathematical model of algorithms: *Turing machines*

## Further Examples

- ▶ Maximum flow
- ▶ Bipartite matching
- ▶ The traveling salesperson problem

## Maximum Flow

### MAX FLOW

INSTANCE: Network  $N = (V, E, s, t, c)$ , where  $(V, E)$  is a (directed) graph,  $s, t \in V$ , the *source*  $s$  has no incoming edges, the *sink*  $t$  has no outgoing edges and  $c$  is a function giving a *capacity* for each edge (each  $c(i, j)$  is a positive integer).

QUESTION: What is the largest possible value for the flow in  $N$ ?

**Definition.** A *flow* is a function  $f$  that assigns for each edge  $(i, j)$  a nonnegative integer  $f(i, j) \leq c(i, j)$  such that for each node (except  $s, t$ ) the sum of  $f$ s of the incoming edges is equal to the sum of  $f$ s of the outgoing edges.

The *value* of the flow is the sum of the flows in the edges leaving  $s$ .

## Bipartite Matching

### MATCHING

INSTANCE: Bipartite graph  $B = (U, V, E)$ , where  $U = \{u_1, \dots, u_n\}$ ,  $V = \{v_1, \dots, v_n\}$ , and  $E \subseteq U \times V$ .

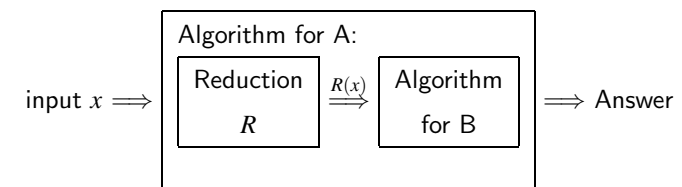
QUESTION: Is there a set  $M \subseteq E$  of  $n$  edges such that for any two edges  $(u, v), (u', v') \in M$ ,  $u \neq u'$  and  $v \neq v'$  (is there a *perfect matching*)?

## Discussion

- MAX FLOW is an *optimization problem*.
- MAX FLOW(D) (decision problem)  
INSTANCE: Network  $N$  and integer  $K$  (goal/target value)  
QUESTION: Is there a flow of value  $K$  or more?
- MAX FLOW and MAX FLOW(D) are roughly equivalent.
- MAX FLOW is a nice example of a problem where the challenge was to find a polynomial time solution method.
- When “the *barrier of exponentiality*” was broken, more and more efficient polynomial time algorithms were developed ( $O(n^5), \dots, O(n^3), \dots$ )

## Reductions

- A reduction is an algorithm that solves problem A by transforming any instance  $x$  of A to an equivalent instance of a problem B (for which an algorithm already exists).



- An efficient algorithm for B provides an efficient algorithm for A if the reduction  $R$  from A to B is efficient.

### Example

- MATCHING can be solved by a *reduction* to MAX FLOW:  
Given any bipartite graph  $B = (U, V, E)$ , construct a network

$$N = (V \cup U \cup \{s, t\}, E', s, t, c),$$

where

$$E' = E \cup \{(s, u) \mid u \in U\} \cup \{(v, t) \mid v \in V\}$$

and all capacities equal to 1.

- $B$  has a perfect matching iff  $N$  has a flow of value  $n$ .

### Discussion

- A naive algorithm for TSP: enumerate all possible permutations, compute the cost of each, and pick the best.  
Not very practical:  $O(n!)$  tours, e.g.  $10! = 3\,628\,800$ .
- For TSP no polynomial algorithm is known (despite very intensive efforts of developing one).
- Conjecture: there can be no polynomial-time algorithm for TSP.
- This is closely related to one of the most important open problems in computer science:  $P = NP?$

### The Traveling Salesperson Problem

TSP

INSTANCE:  $n$  cities  $1, \dots, n$  and a nonnegative integer distance  $d_{ij}$  between any two cities  $i$  and  $j$  (such that  $d_{ij} = d_{ji}$ ).

QUESTION:

What is the shortest tour of the cities, i.e., a permutation  $\pi$  such that

$$\sum_{i=1}^n d_{\pi(i)\pi(i+1)}$$

is as small as possible (where  $\pi(n+1) = \pi(1)$ ).

Decision problem TSP(D): is there a tour of length at most  $B$  (budget)?

### Learning Objectives

- Ability to read and formulate decision/optimization problems
- Basic understanding of growth rates (polynomial vs. exponential)
- The idea of reducing one problem in another